

THE RESEARCH EXCELLENCE INITIATIVE FOR THE COLLEGE

FY:2020-2021

The Research Excellence Initiative (REI) in the College is beginning its third year under the leadership of Interim Dean John Colombo. It is designed to support the research and creative works of faculty, staff and students across the College of Liberal Arts and Sciences. Notably, the REI is built on private funds, raised by the Dean, the Associate Deans of Research, the KU Endowment, and the College Alumni Advisory Board. Therefore, the precious, limited resources from the College budget were not used for this initiative. We greatly appreciate the support from private donors and stakeholders who have made this initiative possible and invested in the future of the College.

As a result of the past two year's of competitive funding, faculty and staff recipients of the REI have more than tripled the value of the original investment through external funding, and have had tremendous impacts on training our next generation of leaders through participation in research. We look forward to what can be accomplished as a community of artists, scholars and scientists with these new investments in our work for the FY 2020-2021 round of the Research Excellence Initiative.

The REI also fits well within the strategic priority of the College to increase our productivity in research and to elevate our national/international stature in these endeavors. The REI will also support one of our other strategic priorities focused on spear-heading the involvement of students in research and creative works conducted across the full range of the arts, liberal arts, and sciences. We believe the latter is crucial to prepare the next generation of scholars during their time at KU for their future careers.

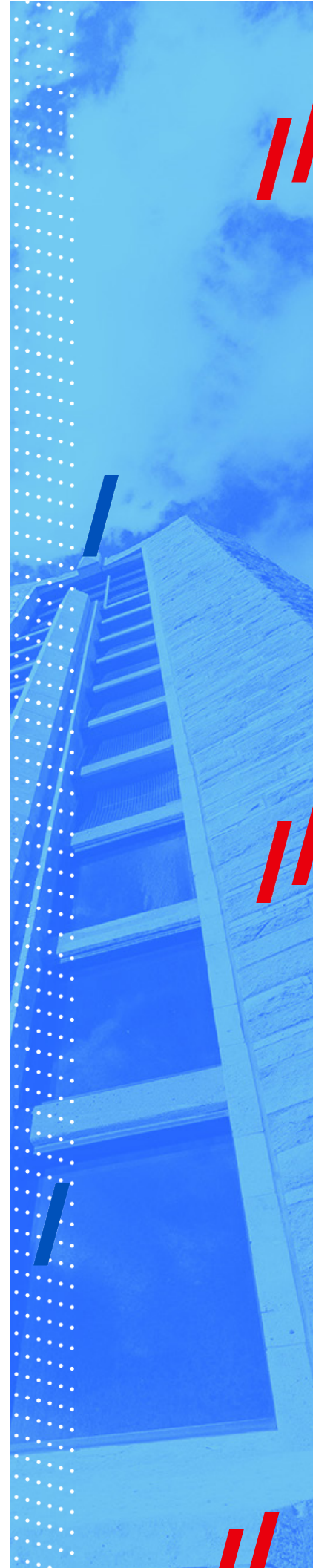
Towards this end, the REI provides competitive internal funding to support the research and creative works of our faculty, research staff, postdoctoral fellows, and graduate/undergraduate students. Proposals will be evaluated on the articulation of research objectives, and must also emphasize how this work will impact student engagement, learning and networking. In cases where there is active participation of undergraduate students in research with the need for additional resources, we will provide supplemental funding to support the important contributions of faculty mentoring.

We are very excited to offer this initiative, as we look forward to supporting the amazing contributions that our College faculty, staff and students are making in their scholarly pursuits. We also appreciate the great benefits that our students receive when they work directly with faculty and staff in developing core liberal arts and sciences skills as well as gaining career-readiness skills including critical thinking, communication skills, and project management expertise

We look forward to watching how these new funds transform the research enterprise in the College as we work together to advance our future scholars and leaders.

JOY K. WARD
*Associate Dean of Research
in the Natural and Social Sciences*

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*Associate Dean for Research
in the Arts and Humanities*



*** Please note that funds will be available to spend July 1, 2020 for those that do not have an open deadline***

The Research Excellence Initiative in the College

Fiscal Year for Funding: 2020-2021

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I. OVERVIEW: RESEARCH EXCELLENCE INITIATIVE

Summary Information on Available Funds for FY: 2020-2021

General Funds						Application Materials					
Funding Categories (with abbreviations)		Who can apply as Principal Investigator?	Approx. # of Awards	Funding Range	Deadline	Abbreviated Description (see individual fund descriptions for more details)	Application Part I	Application Part II	Vita or Resume	Budget & Budget Justification	Appendix A
A. Collaborative Research Fund (large projects)	CR1	Faculty and Research Staff	1	\$25-30K	10/21/2019	Supports transdisciplinary teams to develop ideas, conduct research, and seed large-scale, collaborative projects. The outcomes must include an application for major external funding (e.g., grant, fellowship)	Yes	Yes	Yes	Yes	Yes
Collaborative Research Fund (small projects)	CR2		1	\$15-18K	10/21/2019		Yes	Yes	Yes	Yes	Yes
B. Discipline-Specific Research Fund	DSR	Faculty and Research Staff	3 (supports a range of sizes)	\$5-6K; \$8-9.6K; \$10-12K	10/21/2019	Supports single researchers (or small groups) to apply new approaches to an existing project or to generate new data or creative works to enhance the potential for external funding. Awards may also be used to support an unfunded project or a fully funded project that would be strengthened with additional resources	Yes	Yes	Yes	Yes	Yes
C. Time-Sensitive and Urgency Fund	TSU	Faculty and Research Staff	TBD	\$1-10K	10/21/19 for 1 st priority; open deadline afterwards	Supports researchers who have a critical need for rapid and targeted funds to sustain a research activity or body of work	Yes	Yes	Yes	Yes	Yes
D. Fill-In the Gap Fund	FIG	Faculty and Research Staff	TBD	\$1-5K	Open deadline	Supports researchers who have already acquired a grant or fellowship but are in need of additional resources not covered by the original funding	Yes	Yes	Yes	Yes	Yes
E. Course Release Fund	COR	Tenured and Tenure-track Faculty	2	1 course (\$5.5K)	10/21/2019 (1 award); 3/15/2020 (1 award)	Provides one course release for increased research productivity or for writing large grants/fellowships	Yes	Yes	Yes	Yes	No
F. Faculty Grant-Writing Incentive Fund	FWI	Tenured and Tenure-track Faculty	2	\$3K	3/15/2020	Provides funds for peer review of grant or fellowship applications prior to submission and provides summer salary/fringe and/or research support for the faculty member	Yes	Yes	Yes	Yes	Yes

General Funds							Application Materials				
Funding Categories (with abbreviations)		Who can apply as Principal Investigator?	Approx. # of Awards	Funding Range	Deadline	Abbreviated Description (see individual fund descriptions for more details)	Application Part I	Application Part II	Vita or Resume	Budget & Budget Justification	Appendix A
G. Grant or Manuscript Pre-Review Fund	PRE	Faculty and Research Staff	TBD	\$500	Open deadline	Provides an honorarium to an expert reviewer to provide constructive and comprehensive feedback on external grant/fellowship applications or manuscripts prior to submission	Yes	No	Yes	Yes	Yes
H. Conference/Meeting/Exhibit Sponsorship Fund	CME	Faculty and Research Staff	TBD	\$1-2K	Open Deadline	To support conferences, meetings or exhibits that are hosted on the KU Campus or are chaired/featured by College faculty/staff outside of KU	Yes	No	Yes	Yes	Yes
I. Dean's Award for Excellence in Mentoring of Students in Research	ESM	Tenured and Tenure-track Faculty	1-2	Course release (\$5.5K) and \$1K	10/21/2019	Course release and research support for faculty members who have shown dedication and excellence in engaging students (undergraduate and graduate) in research	Yes	Yes	Yes	Yes	Yes
Faculty Directed Support for Undergraduates							Application Materials				
Funding Categories (with abbreviations)		Who can apply as Principal Investigator?	Approx. # of Awards	Funding Range	Deadline	Abbreviated Description (see individual fund descriptions for more details)	Application Part I	Application Part II	Vita or Resume	Budget & Budget Justification	Appendix A
J. Jim Mielke Humanities, Social and Behavioral Science Mentorship Fund	JMF	Faculty and Research Staff (submit on student's behalf)	2	\$800/+ \$200 faculty honorarium	10/21/2019	To provide summer internship or research opportunities for undergraduate students in the Humanities, Social and Behavioral Sciences. Students must have graduated from a Lawrence High School	Yes	No	Yes	Yes	Yes
K. Judy (Judith) Hinton Research Fund	JHR	Undergraduate woman veterans (and ROTC)	TBD	\$1-2K	Open Deadline	To support undergraduate women veterans (and ROTC) within CLAS for domestic/international travel to conferences & meetings to present research, visit a research/field site to conduct research, or visit an expert in the field to learn a new technique/approach	Yes	No	Yes	Yes	Yes
L. Undergraduate Student Travel Fund	UST	Faculty and Research Staff (submit on student's behalf)	TBD	\$1K	Open Deadline	Travel Support to formally present a talk/poster/creative work at a conference; or support travel to research site	Yes	No	Yes	Yes	Yes

Staff and Graduate Student Funds							Application Materials				
Funding Categories (with abbreviations)		Who can apply as Principal Investigator?	Approx. # of Awards	Funding Range	Deadline	Abbreviated Description (see individual fund descriptions for more details)	Application Part I	Application Part II	Vita or Resume	Budget & Budget Justification	Appendix A
M. Graduate Student and Post-Doctoral Travel Fund	GPT	Ph.D. and Masters Students	TBD	\$1K	10/21/19 for 1 st priority; open deadline afterwards	This fund is no longer provided by the REI and will now be administered by the College of Graduate Affairs (COGA). They can be reached at 785-864-4201 or coga@ku.edu	Yes	No	Yes	Yes	Yes
N. Graduate Student Grant-Writing Incentive Fund	GWI-GS	Ph.D. and Masters Students	TBD	\$2K (\$\$.5K to advisor)	10/21/2019	Provides incentives for applying for external funding for research. The graduate student or post-doctoral researcher must be applying for a grant or fellowship totaling at least \$5,000 and the proposed research activities must be in <u>direct</u> support of the student or post doc's research	Yes	No	Yes	Yes	Yes
O. Post-Doctoral Grant-Writing Incentive Fund	GWI-PD	Post-doctoral Researchers	TBD	\$3K (\$1K to advisor)	10/21/2019		Yes	No	Yes	Yes	Yes
P. Social Justice Research Award	SJA	Ph.D. and Master's Students	0-1 (shared with the school of business)	\$2K	10/21/2019	To support the thesis or dissertation research of a graduate student whose interests, achievements, and talents are in the peace and justice field	Yes	No	Yes	Yes	Yes
Q. Staff Grant-Writing Incentive Fund	SWI	Research Staff	TBD	\$2.5K	10/21/2019	Provides incentives for applying for external funding for research. The staff member must be applying for a grant or fellowship totaling at least \$5,000 and the proposed research activities must be in direct support of the staff member's research	Yes	No	Yes	Yes	Yes

Special Purpose Funds						Application Materials					
Funding Categories (with abbreviations)		Who can apply as Principal Investigator?	Approx. # of Awards	Funding Range	Deadline	Abbreviated Description (see individual fund descriptions for more details)	Application Part I	Application Part II	Vita or Resume	Budget & Budget Justification	Appendix A
R. Child Language Doctoral Program Fund	CLD	Faculty, graduate students, and visitors in the Child Language Doctoral Program	TBD	\$1-4.5K	10/21/2019	Use of these funds is restricted to faculty and affiliates in the Child Language Doctoral Program (CLDP). Funds can be used for 1) faculty research projects, 2) scholarship and/or research support for graduate students, and 3) support for visiting scholars (Sponsored by Mabel L. Rice)	Yes	Yes	Yes	Yes	Yes
S. Engaged Scholarship Award	ESA	Faculty and Research Staff	1	\$3K	10/21/2019	Provides support to conduct engaged scholarship at local through international levels (i.e., academic scholarship or research that has been taken off-campus to enhance society and the public good)	Yes	No	Yes	Yes	Yes

***Note:** Funding supports research disciplines across the entire College ranging from the arts, liberal arts, international studies, instructional research, and the sciences.

Faculty include all tenured, tenure-track, and non-tenure track faculty (e.g., clinical, research, educator tracks). Research staff include those engaged in basic and applied research, the production of creative works, and research in learning methods and environments, etc.

While collaborators are required for Collaborative Research Funds (CR1, CR2), they may also be listed in other award categories when relevant to the project. However, funding from the Research Excellence Initiative may not support salary for individuals with a primary appointment outside of the College (although funds from other sources can be used to leverage these costs and can be listed in the budget as matching support). Those receiving direct funding from the Research Excellence Initiative must have a ≥ 0.25 appointment in the College. Graduate and undergraduate students receiving funding must also be primarily appointed in the college.

II. Timeline and Submission Information

Application call:	September 16, 2019
Application due date:	October 21, 2019 (or as specified for each fund)
Review panel meetings:	November – December 2019 (for 10/21/2019 deadlines)
Award notification date:	By February 1, 2020
Award start date:	July 1, 2020 for 10/21/2019 deadlines October 1, 2019 for rolling
First progress report due:	October 1, 2020 (for 11/9/2019 deadlines)
Award end date:	June 30, 2021 *Note: All 2020 funds must be spent by this date
Second progress report due:	October 1, 2021 (for 10/21/2018 deadlines)

*****NOTE on deadlines:** *The above dates are **firm deadlines** and we cannot accept late applications (unless a given category has an open deadline). You will receive notification when your application has been received. Please consult your chair before applying, as she/he/they may have internal deadlines for proposal submissions that may differ from those listed above. Progress reports for open deadlines will be announced as they become relevant.*

An electronic version of the completed application (preferably as one PDF file, but we also accept multiple PDFs) is due to the College Dean's Office at the following email address college_rei@ku.edu by 5 pm on **October 21, 2019** (or as otherwise noted within specific fund descriptions).

Please use the following format for the email subject line and file(s) name:

REI2020-21_lastname,firstinitial_fundtype

Example: REI2019-20_Doe,J_FWI.pdf (*for a single pdf*)

— or —

REI2020-21_Doe,J_FWI.Application.pdf (*for multiple PDF files; label accordingly*)

REI2020-21_Doe,J_FWI.Budget.pdf

REI2020-21_Doe,J_FWI.CV.pdf

NOTE on matching funds: *These funds can also be used in conjunction with the General Research Fund (GRF) and New Faculty GRF awards.*

You may apply as Principal Investigator (PI) for multiple awards, but each must have its own application. In the application form, please rank your preference for the order of funds in which you would like to receive consideration, and we will do our best to accommodate this ranking in our final decisions.

Please be sure that your completed application includes all necessary materials described in the individual fund descriptions under *Application Requirements*, including any *Special Requirements*.

Two attachments accompany this description of the Research Excellence Initiative in the College:

1. Application Form that includes: (Word Template)
 - Application Part I
 - Application Part II (**not all funds require this information**—see Overview on pages 2-4)
 - Appendix A: Approvals for University Regulations for Research Projects
2. Budget Worksheet/Form (Excel File) with a Budget Justification in Word (1 page maximum)

III. Description of Funds

Below we outline a variety of funding categories that support the full range of disciplines in the College of Liberal Arts and Sciences including the arts, liberal arts, and sciences. The Research Excellence Initiative (REI) includes four broad categories of funds to support these efforts as listed below:

General Funds

Faculty Directed Support for Undergraduates

Staff and Graduate Student Funds

Special Purpose Funds

Together, these two types of funds provide a unique portfolio of opportunities for enhancing the research activities of the College in productive and innovative ways. One of the key aspects of the REI is an emphasis on the inclusion of undergraduate and graduate students in the process of conducting research. We consider this as an integral part of this initiative because it allows for the training of the next generations of leaders as they work side-by-side with our faculty and staff in the College.

General Funds

Staff and Graduate Student Funds

These funds are made possible by our generous donors who have contributed general research funds that are designed to support research activities across the full range of the arts, liberal arts, and sciences in the College. Funding categories include faculty, staff, and students in the College and potential collaborators across campus and at national/international levels. Within the outlined categories, the individuals applying have a high degree of latitude for the direction and approaches used in the proposed research activities, and this is often referred to as investigator-initiated projects. Through this initiative, funds can be requested for (a) support for transdisciplinary and discipline-specific research, (b) time-sensitive and urgent funding for targeted research, (c) summer salary/fringe and course buy-out funds to facilitate applications for external funding, (d) honoraria for expert reviewers to increase the success of proposals and manuscripts in the review process, (e) incentive funds for graduate students, post-doctoral researchers, and research staff to write external funding applications, and (f) conference sponsorship and travel funds. We also include an honorary award for excellence in mentoring of students in research for faculty who have had a major impact on the success of undergraduate and/or graduate students in the College. We expect that as this initiative shows its full value, it will grow and expand into other important funding streams to support research and the student experience in the College.

Special Purpose Funds

Faculty Directed Support for Undergraduates

These funds are made possible by contributions from donors who have selected targeted areas that they would like to support, and therefore these funds are variable in nature and are subject to change from year-to-year (both in type and award amounts). These awards generally involve specific goals and outcomes and therefore may differ from the typical latitude provided to investigators in funding categories in the College-Wide Funds. These Special Purpose Funds also allow us to support research endeavors that are of broad interest to both our donors and faculty/staff alike.

A. Collaborative Research Fund: Large Projects (CR1) / Small Projects (CR2)

Goal: To promote high impact research that is transdisciplinary in nature and that enables synergies across the College and beyond

Application Due Date: 10/21/2019

Fund Description: These funds will support transdisciplinary teams to develop ideas, conduct research, and seed large-scale projects. The outcomes of these awards **must** include one or more significant research or creative product(s). These include an application for **external** research funding (GRF grants are not considered external) for a research group or institutional effort (e.g., center grant, institutional training grant), a highly innovative teaching approach, a significant scholarly product (e.g., a major book), the development of a long-term research instrument or large-scale data set that supports interdisciplinary efforts, and/or implementation of a transformative program at KU or in the community. Applications must state expected outcomes and products from this funding.

Student Engagement: Student participation is required and must be specifically described in the Project Description. This may include undergraduate and/or graduate student participation in the process of conducting research, contributing to a creative work, journal article, book, and/or participation in the development of a teaching strategy or other areas as relevant to the proposed activities. In cases where there is active involvement of **undergraduate students** with a need for additional support, we will provide supplemental funding at levels up to 20% higher than articulated below for funded applications (e.g., a maximum award of \$25,000 would be increased to \$30,000 with full undergraduate support).

Specifics:

Approximate Number of Awards: 2 (one per funding range indicated below)

Award Range: \$25,000 (large project); \$15,000 (small project); plus an optional 20% (or less) increase to funding categories above when undergraduate support is needed

Eligibility Requirements: The principal investigator (PI) must be a faculty member or research staff member in the College (see Notes in Overview, Section I). Required Co-Investigators may include faculty and/or research staff from inside or outside of the College. This fund also encourages co-investigators/collaborators from outside of KU when applicable.

Application Requirements:

- Application Form Part I
- Application Form Part II
- Curriculum Vitae of the PI and major collaborators (maximum 4 pages each)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** Proposals must include at least two faculty members (tenured/tenure-track) and at least two different KU departments, with the lead PI being in the College (list all involved in the Application Form). Collaborators from other schools at KU will be viewed positively. If matching funds are acquired from other sources in advance (e.g., from other schools or agencies), please indicate that in the project description. In rare cases, we will consider collaborations between faculty members in the same department if a very strong case can be made for the interdisciplinary benefits of this interaction. In addition to the minimum of two faculty members, additional co-investigators/collaborators may be included from outside of KU (national and international), especially when this serves to strengthen the quality of the research. If additional funds are requested for undergraduate support (see *Award Range* above), please state how these funds will be specifically used and how they will benefit the undergraduate students involved in the Project Description and the Budget Justification.

B. Discipline-Specific Research Fund (DSR)

Goal: To “seed” future external funding applications by supporting research projects and creative works that advance a specific discipline (or related disciplines) within a defined set of aims and approaches

Application Due Date: 10/21/2019

Fund Description: Funds will support a lead principal investigator (PI) with collaborators as appropriate to apply new approaches to an existing project or to generate new data or creative works to enhance the potential for future funding. Awards can be used to support an unfunded project or a fully funded project that could be strengthened with additional resources (but see *Special Requirements* below).

Student Engagement: Student participation is required and must be specifically described in the Project Description. This may involve inclusion of undergraduate and/or graduate students in the process of conducting research and/or contributing to creative works or other areas as relevant to the proposed activities. In cases where there is active involvement of **undergraduate students** with a need for additional support, we will provide supplemental funding at levels up to 20% higher than articulated below for funded applications (e.g., a maximum award of \$10,000 would be increased to \$12,000 with full undergraduate support).

Specifics:

Approximate Number of Awards: 3

Award Range: 1 award of \$5,000; 1 award of \$8,000, and 1 award of \$10,000; plus an optional 20% (or less) increase to categories above with need of undergraduate support

Eligibility Requirements: The principal investigator (PI) must be a faculty member or research staff member in the College (see Notes in the Overview, Section I). Possible Co-Investigators (if relevant) may include faculty and/or research staff from inside or outside of the College.

Application Requirements:

- Application Form Part I
- Application Form Part II
- Curriculum Vitae of the PI and optional for collaborators (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** All applicants must state how this seed funding increases the likelihood of external funding and scholarly products in the Project Description. In cases where an applicant is requesting additional funds for an already funded project, the applicant must **differentiate** the aims of that project from this new seed funding. If additional funds are requested for undergraduate support (as designated above), please state how these funds will be used and how they will benefit the undergraduate students involved in the Project Description and the Budget Justification.

C. Time-Sensitive and Urgency Fund (TSU)

Goal: To support research and creative works that are opportune in nature or that require immediate funding in order to create or sustain a research activity or body of work

Application Due Date: Applications received by 10/21/2019 will receive first priority for consideration. After this date, funds will be available on a rolling basis as funds remain available (contact one of the Research Associate Deans in the College after 10/21/2019 for more information)

Fund Description: Support will be granted based on documentation of a critical need for rapid and targeted funds. We anticipate that these requests will vary widely by discipline and by situation. Examples include but are not limited to:

- a. Investigators who narrowly missed having an external proposal funded that require additional data for resubmittal in the next round;
- b. Reprint or permission fees associated with publication costs in cases where the One University Open Access Author Fund (<http://library.kumc.edu/oa-fund-home.xml>) was unavailable or not an option or grant funds were unavailable;
- c. Costs associated with exhibition and/or performance of a creative work(s);
- d. Proofreading and/or indexing of a book manuscript;
- e. Funding for an unexpected shortfall in an existing grant;
- f. Funding a time-sensitive research opportunity; or
- g. Maintaining the employment of an excellent staff researcher to allow more time to gain funding to maintain their employment

Student Engagement: Applicants must articulate how this funding will have a positive impact on students. We envision many possible scenarios for student participation and training due to the diverse nature of anticipated requests.

Specifics:

Approximate Number of Awards: To be determined

Award Range: \$1,000-\$10,000 depending on the project and its scope (these funding levels include student participation costs); a specific budget is required

Eligibility Requirements: The principal investigator (PI) must be a faculty member or research staff member in the College (see Notes in the Overview, Section I). Possible Co-Investigators (if relevant) may include faculty and/or research staff from inside or outside of the College.

Application Requirements:

- Application Form Part I
- Application Form Part II
- Curriculum Vitae of the PI (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** The impact and urgency of the need for funds must be clearly articulated in the Project Description, along with an exact budget amount in the request.

D. Fill-In the Gap Fund (FIG)

Goal: To support researchers who have an externally funded grant that does not allow expenditures that are crucial to conduct the research and/or that will substantially enhance the research or creative works

Application Due Date: Open deadline as funds remain available

Fund Description: Support will be granted to researchers who have already acquired a grant or fellowship but are in need of additional resources not covered by the original funding. As an example, this may involve the need for a piece of equipment or supplies that are not covered directly by the original grant.

Student Engagement: Applicants must articulate how this funding will have a positive impact on students. We envision many possible scenarios for student involvement and training due to the diverse nature of anticipated requests.

Specifics:

Approximate Number of Awards: To be determined

Award Range: \$1,000-\$5,000 depending on the project and its scope (these funding levels include student participation costs); a specific budget is required

Eligibility Requirements: The principal investigator (PI) must be a faculty member or research staff member in the College (see Notes in the Overview, Section I). Possible Co-Investigators (if relevant) may include faculty and/or research staff from inside or outside of the College.

Application Requirements:

- Application Form Part I
- Application Form Part II
- Curriculum Vitae or resume of the PI (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** In the Project Description, the applicant must document the need for the request and show clear evidence that it is unable to be funded through a current support mechanism.

E. Course Release Fund (COR)

Goal: To provide faculty with targeted course release time for increasing research productivity, gaining time to be competitive for a grant renewal, developing a major teaching instrument or innovation, and/or preparing a major proposal for external funding

Application Due Date: 10/21/2019 (1 award for course release during fall of 2020);
3/15/2020 (1 award for course release during spring of 2021); *a call for this deadline will be re-sent at the beginning of the spring semester*

Fund Description: The applicant must articulate how this course release will increase the chances of receiving a grant renewal, producing or contributing to a major teaching innovation, or supporting the development of a proposal for external funding that is beyond normal expectations (e.g., multi-disciplinary proposals, training grants, arts grants). Funding is granted directly to the faculty member's home department(s) to cover the cost of hiring a lecturer to cover the course (with chair approval). Past productivity in submitting and receiving grants/fellowships will be advantageous in the review process and/or clear evidence that this time is needed to gain a renewal of a current external award.

NOTE: *Pre-tenure faculty are encouraged to apply for the Research-Intensive Semester before considering this fund (<http://policy.ku.edu/CLAS/research-intensive-semester>). Further, in consultation with the chair, the applicant should request this support during the semester when their teaching responsibilities are the lightest and when it will have the least impact on the department. The course release must also be arranged with ample time to prevent course cancellations after students have enrolled.*

Student Engagement: In the Project Description, applicants must articulate how this course release will positively impact students. Examples may include the effects of a future training grant on the career development of students or how time for a course re-design will impact student learning, or how more research time will benefit additional students with hands-on experience and mentoring in research.

Specifics:

Approximate Number of Awards: 2 per year (maximum of 1 per department/year); faculty members may only receive this award once

Maximum Amount Per Award: 1 course at \$5,500 (with two deadlines per year)

Eligibility: The applicant must be a tenured or tenure-track faculty member in the College

Application Requirements:

- Application Form Part I
- Application Form Part II
- Curriculum Vitae of the PI (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** In the Project Description, clearly articulate the impact that this release time will have on research and/or instruction. The department chair must approve the course release as designated by her/his/their signature on the application form. Funds released to the department will be used to secure a comparable instructor and may not be used for other unit budget items. The course release must occur during the Fall of 2020 or Spring of 2021 (depending on the deadline applied for).

F. Faculty Grant-Writing Incentive Fund (FWI)

Goal: To support faculty during the summer to focus on writing external grant proposals and/or fellowship applications

Application Due Date: 10/21/2019 (a call for this category will be re-sent at the start of the spring semester)

Fund Description: Funds will be granted for summer salary/fringe for faculty members (Summer 2020) and for paid reviews for their grant or fellowship applications for external funding. The award will total \$3,000 where \$500 will be used as an honorarium for a peer review of the grant/fellowship prior to submission; the remainder will go to research funds or summer salary/fringe for individuals selected for this funding. The peer reviewer may be from inside or outside of KU. Applicants are encouraged to find expert reviewers with experience being on grant/fellowship review panels that are relevant to the proposed grant. The expectation is that the peer reviewer will offer a thorough critique to strengthen the grant proposal or fellowship application with ample time to incorporate feedback prior to submission.

Student Engagement: In the Project Description, applicants must articulate how the proposed grant or fellowship will have a positive impact on students if funded.

Specifics:

Approximate Number of Awards: 2

Award Amount: \$3,000 (\$500 for the reviewer and \$2,500 for summer salary/fringe and/or research support for the faculty applicant)

Eligibility Requirements: The applicant must be a tenured or tenure-track faculty member in the College

Application Requirements:

- Application Form Part I
- Application Form Part II
- Curriculum Vitae of the PI (maximum 4 pages) and CV of the reviewer
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** The faculty member must provide the name, agency, due date, grant amount, and other information to identify the grant/fellowship to be submitted, along with the contact information of the peer reviewer and their curriculum vitae (if from outside of KU) in the Project Description. The applicant must arrange for the reviews to be completed in a timely manner to ensure that comments can be incorporated into the final grant or fellowship application. The applicant should also forward a copy of the final grant proposal/fellowship application to the Dean's Office and a copy of the reviews from the peer reviewer (these will never be used in judgement of faculty members or for future reviews, but only to confirm completion of the duties of the peer reviewer). Review honorariums from other REI sources cannot be used twice for the same proposal.

G. Grant or Manuscript Pre-Review Fund (PRE)

Goal: To allow faculty members who are close to publishing their research or submitting a grant proposal to have it reviewed by an outside expert

Application Due Date: Open deadline as funds remain available

Fund Description: An honorarium will be paid to an expert reviewer by the College as an incentive for providing constructive and comprehensive feedback in a timely manner on a soon to be submitted grant, fellowship application, or manuscript/book. The peer reviewer should be from outside of KU, although exceptions may be made if a leading expert in the field is local.

NOTE: Applicants are encouraged to also consider the Proposal Evaluation and External Review (PEER) fund (<https://research.ku.edu/proposal-evaluation-and-external-review-peer>) through the University of Kansas Office of Research Support for gaining an expert review, or to use that fund for a second review option.

Student Engagement: In the Project Summary, applicants must articulate how the proposed funding would have a positive impact on students if received or how a manuscript would influence student learning, and/or how students or post-doctoral researchers will be trained on professional writing during preparation of the external grant/fellowship application.

Specifics:

Approximate Number of Awards: To be determined

Maximum Amount per award: \$500

Eligibility: The principal investigator (PI) must be a faculty member or research staff member in the College (see Notes in the Overview, Section I). Highest priority will be granted to pre-tenure faculty who are in the process of seeking tenure.

Application Requirements:

- Application Form Part I (Part II is not required for this fund)
- Curriculum Vitae of the PI (maximum 4 pages) and CV of the reviewer
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** The faculty member must provide the name, agency, due date, grant amount and other details to identify the grant/fellowship to be submitted, or a brief description of the manuscript, along with the contact information and curriculum vitae of the expert reviewer. The applicant must arrange for the reviews to be completed in a timely manner to ensure that comments can be incorporated into the final grant or fellowship application. The applicant should also forward a copy of the final grant proposal/fellowship application to the Dean's Office and a copy of the reviews from the expert reviewer (these will never be used in judgement of the faculty or future reviews, but only to confirm completion of the duties of the peer reviewer). Review honorariums from other REI sources cannot be used twice for the same proposal.

NOTE: In partnership with the Hall Center for the Humanities, applicants who invite senior faculty in the Humanities to review grant proposals are eligible to apply for a Hall Center faculty development grant. This grant allows applicants to bring the reviewer to campus to workshop their book and to present their work to faculty and students. Applicants interested in this support should note that in their submission email in order for their application to be forwarded to the Hall Center.

H. Conference / Meeting / Exhibit Sponsorship Fund (CME)

Goal: To support conferences, meetings or exhibits that are hosted on/off the KU campus and that are chaired/featured by College faculty and/or research/instructional staff

Application Due Date: Open deadline as funds remain available

Fund Description: To support conferences, meetings or exhibits that are hosted on/off the KU campus and that are chaired/featured by College faculty and/or research/instructional staff

NOTE: *Humanities and Arts Faculty may also request additional matching funds from the Hall Center for conference funds.*

Student Engagement: The conference, meeting, or exhibit should include undergraduate and/or graduate students as presenters and/or participants and this should be articulated in the Project Summary. In addition, faculty/staff should consider the involvement of College and other KU students directly in the conference, meeting, or exhibit.

Specifics:

Approximate Number of Awards: To be determined

Maximum Amount per award: \$1,000-\$2,000

Eligibility: The principal investigator (PI) must be a faculty member or research staff member in the College (see Notes in the Overview, Section I). Possible Co-Investigators (if relevant) may include faculty and/or research staff from inside or outside of the College.

Application Requirements:

- Application Form Part I (Part II is not required for this fund)
- Curriculum vitae or resume of the PI (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** If funded, please be sure to reference the Research Excellence Initiative in the College at the University of Kansas as a sponsor for the meeting, conference or exhibit on promotional materials (please contact the Dean's Office if you need assistance). If applying for a conference grant in addition to the REI, please indicate this in the application (this will be viewed positively).

I. Dean's Award for Excellence in Mentoring of Students in Research (ESM)

Goal: To reward faculty for exemplary student mentoring in research

Application Due Date: 10/21/2019

Fund Description: This fund is designed to reward faculty for exhibiting excellence in engaging and mentoring students (graduate and/or undergraduate) in the process of conducting research in disciplines represented across the College. This fund will provide one course release and \$1,000 in research support for faculty members who have shown major dedication and innovation in engaging students (undergraduate and/or graduate) in research with substantial and demonstrated positive outcomes.

Student Engagement: Student engagement is inherent to this award.

Specifics:

Approximate Number of Awards: 2 (one from the social/behavioral/natural sciences, and one from the arts/humanities)

Maximum Amount per award: \$1,000 to the faculty member as research support plus funds to the respective department for a course release (\$5,500) for the faculty member (\$2,500 in research funds or summer salary/fringe can be substituted for the teaching release)

Eligibility: The applicant must be a tenured or tenure-track faculty member in the College

Application Requirements:

- Application Form Part I
- Application Form Part II
- Curriculum vitae of the PI (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** These awards are self-nominated and should describe the specific student mentoring activities and outcomes in research in full detail in the Project Description. The chairperson's signature on the initial application indicates that she/he/they is aware there is a potential for this award to be granted to the applicant. **The applicant should attach 3-4 letters from students to their application that document their mentoring commitments, capabilities, and specific outcomes.**

J. Jim Mielke Humanities, Social and Behavioral Science Mentorship Fund (JMF)

Goal: To support undergraduate students in the Humanities and/or Social and Behavioral Sciences

Application Due Date: 10/21/2019

Fund Description: This fund provides summer internship or research opportunities for undergraduate students in the Humanities, Social and Behavioral Sciences to gain experience with research to enhance mentoring of undergraduates, and to contribute to discovery. Preference shall be given to graduates of a Lawrence, KS public high school and students in humanist, social and behavioral science fields. A \$200 honorarium will be awarded to the mento of the student receiving the award.

Student Engagement: This fund serves to benefit undergraduate students by helping them gain experience with research to enhance mentoring of undergraduates, and to contribute to discovery.

Specifics:

Approximate Number of Awards: 2

Award Range: \$800 summer salary/fringe to the student; \$200 honorarium to advisor/mentor

Eligibility Requirements: The principal investigator (PI) must be a faculty member or research staff member in the College (see Notes in the Overview, Section I) who submits the application on behalf of the student in the College (i.e., one that they are mentoring or will mentor in research). **If traveling, a statement must be made in the Application Form Part I for how the student's travel is directly relevant to the research of the supporting faculty member (for tax purposes).**

Application Requirements:

- Application Form Part I (Part II is not required for this fund)
- Curriculum Vitae or resume of the PI (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects

K. Judy (Judith) Hinton Research Fund (JHR)

Goal: To support undergraduate women veterans (and current women in ROTC) within the College of Liberal Arts & Sciences (CLAS) to travel to either international or domestic conferences and meetings to present their research, to visit a research/field site to conduct research, or to visit an expert in the field to learn a new technique/approach. Special preference will be given to students studying pre-education or pre-nursing.

Application Due Date: Funds will be available on a rolling basis as funds remain available

Fund Description: The undergraduate student must present their work through official channels at the meeting/conference (e.g., poster, oral presentation, contribution to an art exhibit) or learn new skill that will enhance their research at a new location, or gain critical data for their research at a distant location. All approaches should involve development of critical networking skills in the process of enhancing their research through travel opportunities.

Student Engagement: This fund serves to benefit CLAS undergraduate women veterans and ROTC members by supporting them to travel to present their research, to gain new skills, or to collect critical data.

Specifics:

Approximate Number of Awards: To be determined

Maximum Amount per award: \$1,000 domestic, or \$2,000 international

Eligibility: The principal investigator (PI) must be an undergraduate woman veteran (or ROTC) within the College of Liberal Arts & Sciences. A faculty member, research staff or advisor is required to sign off on the project. **If traveling, a statement must be made in the Application Form Part I for how the student's travel is directly relevant to the research of the supporting faculty member (for tax purposes).**

Application Requirements:

- Application Form Part I (Part II is not required for this fund)
- Curriculum vitae or resume of the PI (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** In the Project Summary, provide the name of the meeting/conference with information on the student presentation and/or the benefits to be gained by the student from the travel, including networking, learning a new skill, or gaining research experience.

L. Undergraduate Student Travel Fund (UST)

Goal: To support undergraduate students to travel to conferences and meetings to present their research, to visit a research/field site to conduct research, or to visit an expert in the field to learn a new technique/approach

Application Due Date: Applications received by 10/21/2019 will receive first priority for consideration. After this date, funds will be available on a rolling basis as funds remain available (contact one of the Research Associate Deans in the College after 10/21/2019 for more information)

Fund Description: The undergraduate must present their work through official channels at the meeting/conference (e.g., poster, oral presentation, contribution to an art exhibit) or learn new skills that will enhance their research at a new location, or gain critical data for their research. All approaches should involve development of critical networking skills in the process of enhancing their research through travel opportunities.

Student Engagement: This fund serves to benefit undergraduate students by supporting them to travel to present their research, to gain new skills, or to collect critical data.

Specifics:

Approximate Number of Awards: To be determined

Maximum Amount per award: \$1,000

Eligibility: The principal investigator (PI) must be a faculty member or research staff member in the College (see Notes in the Overview, Section I) who submits the application on behalf of the student in the College (i.e., one that they are mentoring or will mentor in research). **If traveling, a statement must be made in the Application Form Part I for how the student's travel is directly relevant to the research of the supporting faculty member (for tax purposes).**

Application Requirements:

- Application Form Part I (Part II is not required for this fund)
- Curriculum vitae or resume of the PI (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** In the Project Summary, provide the name of the meeting/conference with information on the student presentation and/or the benefits to be gained by the student from the travel, including networking, learning a new skill, or gaining research experience.

M. Graduate Student and Post-Doctoral Travel Fund (GPT)

***Please note that this fund is no longer provided by the Research Excellence Initiative (REI) and will now be administered by the College of Graduate Affairs (COGA). They can be reached at 785-864-4201 or coga@ku.edu.

N. Graduate Student Grant-Writing Incentive Fund (GWI-GS)

Goal: To support and reward graduate students who apply for external grants and fellowships to support their research endeavors

Application Due Date: 10/21/2019

Fund Description: The graduate student must be applying for an external grant or fellowship totaling at least \$5,000 and the research activities must be in direct support of the student's research. The applicant must also be mentored by their faculty advisor while writing the grant/fellowship application and while conducting the research if subsequently funded. Each funded grant or fellowship will include an additional \$500 in research support for the faculty advisor and/or supervisor.

Student Engagement: This fund benefits graduate students by rewarding them for submission of external grant and fellowship applications that will directly support and benefit their research.

Specifics:

Approximate Number of Awards: To be determined

Maximum Amount per award: \$1,500 summer salary/fringe to the student; \$500 to the faculty advisor as research funds to reward advising on the grant/fellowship preparation

Eligibility: Masters and doctoral students in the College (with faculty advisor support)

Application Requirements:

- Application Form Part I (Part II is not required for this fund)
- Curriculum vitae or resume of the student (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** The graduate advisor(s) must designate by her/his/their signature on the application form that she/he/they will support the applicant in preparing the grant or fellowship and will advise on the research if the grant/fellowship is subsequently funded. The graduate student must provide the name, agency, due date, grant amount, and other details to identify the grant/fellowship to be submitted. The applicant should also forward a copy of the final grant proposal/fellowship application to the Dean's Office.

O. Post-Doctoral Grant-Writing Incentive Fund (GWI-PD)

Goal: To support and reward post-docs who apply for external grants and fellowships to support their research endeavors

Application Due Date: 10/21/2019

Fund Description: The post-doc must be applying for an external grant or fellowship totaling at least \$10,000 and the research activities must be in direct support of the post-doc's research. The applicant must also be mentored and supported by their faculty advisor while writing the grant/fellowship application and while conducting the research if subsequently funded. Each funded grant or fellowship will include an additional \$1,000 in research support for the faculty advisor and/or supervisor.

Student Engagement: This fund benefits post-docs by rewarding them for submission of external grant and fellowship applications that will directly support and benefit their research.

Specifics:

Approximate Number of Awards: To be determined

Maximum Amount per award: \$2,000 summer salary/fringe to the student; \$1,000 to the faculty advisor as research funds to reward advising on the grant/fellowship preparation

Eligibility: Post-doctoral fellows in the College (with faculty advisor support)

Application Requirements:

- Application Form Part I (Part II is not required for this fund)
- Curriculum vitae or resume of the graduate student (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** The graduate advisor(s) must designate by her/his/their signature on the application form that she/he/they will support the applicant in preparing the grant or fellowship and will advise on the research if the grant/fellowship is subsequently funded. The post-doc must provide the name, agency, due date, grant amount, and other details to identify the grant/fellowship to be submitted. The applicant should also forward a copy of the final grant proposal/fellowship application to the Dean's Office.

P. Social Justice Award (SJA)

Goal: To support the thesis or dissertation research of a graduate student in the College whose interests, achievements, and talents are in the peace and justice field

Application Due Date: 10/21/2019

Fund Description: To support the thesis or dissertation research of a graduate student in the College (or the School of Business) whose interests, achievements, and talents are in the peace and justice field. Examples of appropriate interests for this award are social scientific study of, or application of social scientific knowledge to: conflict resolution, social action, human problems in the work-world, race and ethnic relations, and research on developing countries. Master's or PhD students with these or similar research interests are encouraged to apply.

Specifics:

Approximate Number of Awards: 1

Maximum Amount per award: \$2,000 (provided for research in the summer)

Eligibility: Master's or Ph.D. students in the College (or the School of Business) who are engaged in thesis or dissertation research during the spring, summer, or fall of 2019 are eligible to apply. The student's enrollment during the term of the award must comply with University and departmental requirements. Both faculty and self-nominations are accepted, but materials must be compiled by the student (with the exception of the faculty nomination letter).

Application Requirements:

- Application Form Part I (Part II is not required for this fund)
- Curriculum vitae or resume of the graduate student (maximum 4 pages)
- Nomination letter from a faculty member in the College (if applicable)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects

Q. Staff Grant-Writing Incentive Fund (SWI)

Goal: To support and reward research/instructional staff who apply for external grants and fellowships to support their research endeavors

Application Due Date: 10/21/2019

Fund Description: The staff researcher must be applying for a grant or fellowship totaling at least \$5,000 and the proposed activities must be in direct support of the staff member's research, teaching, and/or outreach activities.

Specifics:

Approximate Number of Awards: To be determined

Maximum Amount per award: \$2,500 for research supplies and/or travel to present or conduct research

Eligibility: Research staff in the College (see Notes in the Overview, Section I) with approval from their supervisor(s)

Application Requirements:

- Application Form Part I (Part II is not required for this fund)
- Curriculum vitae or resume of the PI (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** The staff member must provide the name, agency, due date, grant amount, and other details to identify the grant/fellowship to be submitted. The applicant should also forward a copy of the final grant proposal/fellowship application to the Dean's Office.

R. Child Language Doctoral Program Fund (CLD)

Sponsored by Distinguished Professor Mabel L. Rice

Goal: To support faculty, graduate students, and visiting scholars in the Child Language Doctoral Program (CLDP) or CLDP-affiliated faculty for research, student support, travel expenses, and support for visiting faculty

Application Due Date: 10/21/2019

Fund Description: This fund is restricted to faculty and graduate students in the Child Language Doctoral Program (CLDP) and CLDP-affiliated faculty with appointments in other units. This fund also supports visiting scholars through applications from CLDP and CLDP-affiliated faculty who will host these visitors. Uses of the fund are limited to: 1) Research funding, 2) scholarship and/or research support for graduate students in CLDP or that are CLDP-affiliated, or 3) funding to support a visiting scholar in CLDP. Examples of proposal requests include: Travel for data collection, attendance at a workshop in methods/analysis, visits to a distant laboratory, and funding to provide discretionary time of the student or researcher for time to devote to data analysis and/or writing.

Student Engagement: See “Student Proposals” in *Special Requirements* below

Specifics:

Maximum Number of Awards: 1 in each category below

Approximate Amounts: Faculty (\$4,500); Graduate students (\$4,500); Visiting Scholars (\$1,000) (amounts are subject to change based on funding availability)

Eligibility: Faculty (see Overview, Section I) and graduate students in the Child Language Doctoral Program (CLDP) and/or CLDP-affiliated faculty and students with appointments in other units.

Application Requirements:

- Application Form Part I
- Application Form Part II
- Curriculum Vitae or resume of PI (maximum 4 pages) and CV of visiting scholar when applicable
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects
- **Special Requirements:** The project description should address one of the following:
 - Faculty Proposals** – Clearly describe the proposed project or travel, its significance, its alignment with the CLDP goals, and its impact on issues of importance to studies of child language and/or language impairments. (If students are involved, please provide a description of the impact on student learning)
 - Graduate Student Proposals** – Explain how the funds will be used and how the funds will enhance graduate student research, training, and/or networking. **A statement must be made in the Application Form Part I for how the student’s travel is directly relevant to the research of a faculty advisor or other supporting faculty member in CLDP or among its affiliates (for tax purposes).**

Visiting Scholar Fund: Provide the name and affiliation of the proposed visiting scholar, the topic of a talk/discussion/research to be conducted, its significance, its impacts on student training, and its alignment to current topics in child language and/or language impairments in children.

S. Engaged Scholarship Award (ESA)

Goal: To support faculty members whose research is currently having an impact on the community. This fund is intended to support projects that began within the last two (2) years. Projects can be ongoing, but there should be at least one tangible outcome of the project at the time the application is submitted.

Application Due Date: 10/21/2019

Fund Description: This fund recognizes outstanding examples of engaged scholarship by faculty in the College. Engaged scholarship encompasses academic scholarship that has been taken off-campus and into communities, contributing to the public good. While student-centered pedagogical elements, such as service learning or volunteering in the community are critical elements of an engaged project, the driving force of this project should be innovative, faculty-led research. This award seeks to recognize College faculty members whose work exemplifies how academic research can have a positive impact on local communities.

Student Engagement: Applicants must articulate how this funding will have a positive impact on students. We envision many possible scenarios for student involvement and training due to the diverse nature of these proposals.

Specifics: Engaged Scholarship Award (ESA)

Approximate Number of Awards: 1

Award Range: \$3,000

Eligibility Requirements: The principal investigator (PI) must be a faculty member or research staff member in the College (see Notes in the Overview, Section I). Possible Co-Investigators (if relevant) may include faculty and/or research staff from inside or outside the College. Collaborators may share this award. This award is intended for projects that began within the last two (2) years at the time of applying. Projects may be on-going, but there should be at least one tangible outcome of the project by the time the application is submitted and this should be clearly described.

Criteria: Applications will be evaluated on the following:

- Level of community impact of the project
- Level of innovation in scholarship that the project demonstrates
- Promise that the project will continue to have a community impact into the future
- The research generated by this award will be taught in the KU classroom to undergraduates or graduates. It could also be used as a form of outreach in the local school system.

Application Requirements:

- Application Form Part I (Part II is not required for this fund)
- Curriculum Vitae or resume of the PI (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects

IV. Evaluation Procedures

The Research Excellence Initiative Review Committee will consist of at least four (4) faculty members who represent different divisions in the College of Liberal Arts & Sciences (Natural Sciences & Mathematics, Humanities, School of the Arts, and Social & Behavioral Sciences) and usually the research associate in the College Dean's Office. Members of the Review Committee will generally serve two-year terms and this will count as a substantial service to the College. The Associate Deans for Research will serve as ad-hoc members of the committee. Chairpersons/unit directors are not eligible to serve on the Review Committee nor are faculty who intend to apply for Research Excellence Initiative funding within the two-year period in which they are serving.

The Associate Deans for Research will send out a call for committee nominations to all faculty in the College and select members for the Review Committee from among the nominations as new members are needed. The Associate Deans will convene the first meeting and the members of the Review Committee will elect a chair at this first meeting.

The REI Review Committee will be charged with evaluating proposals according to the REI guidelines (described below) and will make award recommendations. The decisions of the Committee will be honored to the extent possible based on the availability of funds and representation of diversity among awardees and disciplines within the College. The review process is similar to the example set forth by the National Science Foundation where multiple reviewers evaluate each proposal and the committee works together to rank proposals. The committee also includes an additional oversight person who does not make judgements on the proposals, but oversees the process to ensure fairness. Applicants who do not receive funding or who receive reduced funding can request feedback from the Associate Deans for Research. We reserve the right to alter funding amounts and numbers of awards to our discretion based on the quality of the applications and availability of funds. All final decisions on funding will be made by the Dean of the College of Liberal Arts and Sciences.

V. Review Criteria

Please note that these funds are competitive, as we expect a large number of applicants. We will be unable to support all applications within a given year, and therefore we encourage multiple submissions in cases where an applicant is not funded in the first round.

When applicable, preference will be given to applications that (not in ranked order):

1. Propose a logical, novel, and well thought out plan for conducting the proposed research activities or creative works
2. Demonstrate previous efforts to obtain external funding over the last three years and/or a detailed plan for obtaining external funding within the next 12-18 months (or a publication(s) within that same time period) when applicable
3. Provide support and training for students by participation in the research activities of the project and/or through development of innovative instruction
4. Show a clear connection to the College's strategic plan
5. Propose efficient use of funds and/or smaller budgets as appropriate

It is also important to be sure that your completed application includes all necessary materials and information described in the individual descriptions under *Application Requirements*, including any *Special Requirements (information, required letters, etc.)*.

Detailed information in the timeline in the application can increase the chances for funding and should include targeted funding agencies and deadlines for applications, as well as possible publication outlets and submission timelines for expected products from this funding (when applicable). Furthermore, a record of applying for external funding on a consistent basis will be viewed positively in the application process (when applicable). These are general criteria and the evaluation of proposals will occur within specific funds and will be based on the critical criteria that is important and relevant for each individual fund.

The following information provides a guide to be used for evaluating critical components in the Project Summary and/or Project Description, although these criteria can vary greatly by fund type.

1. Significance

- a. What is the importance of the project to the proposer's discipline or the interdisciplinary endeavor?
- b. Will the project lead to subsequent scholarly publications and/or grant related research activities?
- c. Will this enhance the success of the researcher by providing the opportunity to present research or to have work reviewed that may prompt success in the formal review process?

2. Research / Creative Plan

- a. Are the research objectives clearly stated? An application must be written and designed such that all members of the Review Committee (across disciplines) can read and understand it.
- b. Does the research plan reflect adequate knowledge of current and relevant literature?
- c. Does the research plan describe the research methods adequately?
- d. Does the research plan clearly address the project goals/objectives?
- e. Is there a high probability of successful completion of the proposed objectives within the timeline, budget, and availability of facilities and equipment? Is the project too ambitious to complete the work or under-ambitious as to not have potential for impact?

- f. **Does the project enhance student participation in research in meaningful ways through training potential, career preparation, and networking? This is a key criteria in all funds, although some must be stated explicitly and others are inherent to the fund itself (see fund descriptions).**

3. Qualifications of the Applicant

- a. Is the proposer qualified to complete the proposed research?
- b. Is the applicant recognized as a contributor, expert, or upcoming leader in the field?
- c. Has she/he shown evidence of scholarly capability and productivity?
- d. Has past GRF support and/or other funding produced viable results?
- e. Is the student prepared for a conference scenario through preliminary data or the promise of preliminary data for presentation?

4. Evidence of Scholarly Activity and Achievement

Applications will be regarded favorably if the applicant has shown a strong record of scholarly productivity and/or success in teaching innovations and/or if the applicant has been successful at or has been attempting to secure external support for research projects if available. It is recognized that outside support is less available in some disciplines than in others, but applicants are encouraged to investigate funding opportunities and to apply for external funding whenever possible.

5. Clarity of Project Objectives

It is critical that applicants avoid highly technical and complex language and jargon. Such proposals will prove problematic even to the most intelligent of scholars, if they are in a different discipline (and this will be inherent to the review process since a diversity of scholars will be on the review panel). An application must be designed such that all members of the Review Committee (across disciplines) can read and understand it.

6. Additional Review Criteria

In addition to the major criteria listed above, other specific factors are given consideration in the review process:

- a. Strong consideration will be given to applicants who are early in their professional careers or senior applicants who are developing a new line of research; preference will be given to research and instructional staff who have shown dedication to advancing research and teaching innovations while employed at KU
- b. Proposals for pilot projects, which represent the first step(s) toward larger-scale investigations with the potential for external funding will be regarded favorably. Applicants who submit proposals for pilot projects should state the expected source of the outside funding and the efforts that will be made toward securing such funding in the application materials
- c. Strong consideration will be given to applications who are submitting concurrently with proposals to outside funding agencies for the same project
- d. Completion of projects funded elsewhere. If a project was funded elsewhere and requires modest support for its completion or needs funds to match support already provided by the outside grant, it will be given high consideration, particularly if progress is already great.
- e. Major past and current efforts to incorporate undergraduate students in hands-on research or in networking or professional opportunities will be well received in the review process.

VI. Post-Award Follow-up

All funds for the 2020-2021 application process must be spent in full by June 30, 2021. Remaining funds will be returned to the College.

For funds CR1, CR2, DSR, TSU, FIG, and CLD, recipients will be required to submit two brief progress reports: one during the year of funding (9 months after the start date) and one after the funding has ended (21 months after the start date).

Funding through the COR and ESM funds require a one-time progress report at three months after the course release is completed that describes the accomplishments made with the increase in time devoted to research.

The FWI, PRE, GWI-GS, GWI-PD, JHR, JMF, SWI, CME, UST, SJA and ESA funds require a one-time progress report that describes the results of the grant/fellowship application (funded or rejected) when known with a description of future plans, or a description of the results of the funded conference/meeting, or outcomes of a presentation or research trip, or results of the funded research project (depending on the fund) within three months after completion.

Signing of the applicant forms indicates that the applicant agrees to submit these progress reports as stated, along with evidence of grant/fellowship submissions and reviews as described in the specific funds above.